

# Electronic Information Disclosure Statement

## METHOD AND SYSTEM FOR IDENTIFYING A PAPER FORM USING A DIGITAL PEN

JC474 U.S. PTO  
10/065285  
09/30/02

Application:

Confirmation:

Applicant(s): John Rojas

Docket

F-560






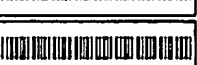

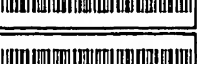




Group Art Unit:

Examiner:

search string: ( 6330976 or 6422474 or 6050490 or 5561446 or 5897648 or 6201903 or 20020080386 or 20020065042 or 20020065101 ).pn.







### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	6330976	2001-12-18		Dymetman, et al.		
	P02	6422474	2002-07-23		Gossweiler, III et al.		
	P03	6050490	2000-04-18		Leichner, et al.		
	P04	5561446	1996-10-01		Montlick		
	P05	5897648	1999-04-27		Henderson		
	P06	6201903	2001-03-13		Wolff, et al.		

## Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

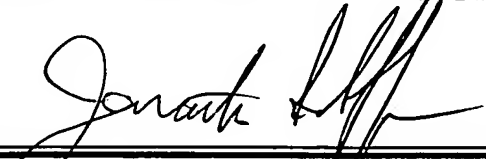
init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	U01	20020080386	2002-07-27		Snowdon, et al.		
	U02	20020065042	2002-05-30		Picoult, et al.		
	U03	20020065101	2002-05-30		Picoult, et al.		

## Remarks

**(Remarks are not for responding to an office action.)**

Applicant submits herewith patents, publications or other information of which Applicant is aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR section 1.56. This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" against this application. In accordance with 37 CFR section 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR section 1.56 (b) exists. In accordance with 37 CFR section 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR section 1.56(b). This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in section 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under section 1.114. In accordance with electronic filing procedures, copies of the patents and patent applications listed herein are not attached. The Commissioner is hereby authorized to charge any additional fees that may be required to Deposit Account No. 16-1885.

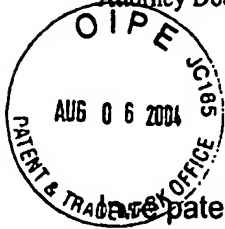
## Signature

Examiner Name	Date
	08/02/05

Serial No.: 10/065,285  
Attorney Docket No.: F-560

Patent

2626  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

patent application of:

) Date: August 4, 2004

John F. Braun, et al.

) Attorney Docket No.: F-560

Serial No.: 10/065,285

) Customer No.: 00919

Filed: September 30, 2002

) Group Art Unit: 2626

Confirmation No.: 5700

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8-12-04  
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AUG 09 2004  
Technology Center 2600

For: METHOD AND SYSTEM FOR IDENTIFYING A PAPER FORM USING A  
DIGITAL PEN

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**\*\* Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

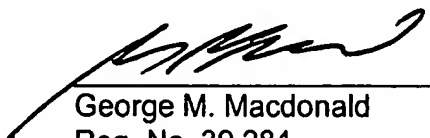
A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 16-1885.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,

  
George M. Macdonald  
Reg. No. 39,284  
Attorney of Record  
Telephone (203) 924-3180

PITNEY BOWES INC.  
Intellectual Property and  
Technology Law Department  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)  
One copy of each of the references

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On August 4, 2004  
Date of Deposit

George M. Macdonald  
Name of Registered Rep.

  
\_\_\_\_\_  
Signature

August 4, 2004  
Date



FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS																																																																																																																			
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<p><b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b></p> <table border="1"> <tbody> <tr> <td rowspan="5"></td> <td>DA</td> <td colspan="6">Anoto Advertising Booklet – "Uniting Handwriting with the Digital World", undated, 15 pages</td> </tr> <tr> <td>DB</td> <td colspan="6">Anoto Advertising Booklet – "Hey, Guess What Those Nifty Swedes Have Dreamed Up Now to Revolutionize Digital Communication?!", undated, 22 pages</td> </tr> <tr> <td>DC</td> <td colspan="6">"A Comparison of Anoto Technology with Other Relevant Systems." Undated. 17 pages.</td> </tr> <tr> <td>DD</td> <td colspan="6">Anoto Functionality – World Wide Web pages from <a href="http://www.anotofunctionality.com">www.anotofunctionality.com</a>. Accessed 1/29/04. 7 pages.</td> </tr> <tr> <td>DE</td> <td colspan="6">Unknown "Anoto, Subsidiary of C Technologies, Initiates Collaboration with John Dickinson, UK's Leading Producer of Stationary Products, 4/23/2001, Business Wire, 2 pages</td> </tr> <tr> <td></td> <td>DF</td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td></td> <td></td> <td colspan="6"></td> </tr> <tr> <td colspan="5">EXAMINER </td> <td colspan="3">DATE CONSIDERED 08/02/05</td> </tr> </tbody> </table> <p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>									DA	Anoto Advertising Booklet – "Uniting Handwriting with the Digital World", undated, 15 pages						DB	Anoto Advertising Booklet – "Hey, Guess What Those Nifty Swedes Have Dreamed Up Now to Revolutionize Digital Communication?!", undated, 22 pages						DC	"A Comparison of Anoto Technology with Other Relevant Systems." Undated. 17 pages.						DD	Anoto Functionality – World Wide Web pages from <a href="http://www.anotofunctionality.com">www.anotofunctionality.com</a> . Accessed 1/29/04. 7 pages.						DE	Unknown "Anoto, Subsidiary of C Technologies, Initiates Collaboration with John Dickinson, UK's Leading Producer of Stationary Products, 4/23/2001, Business Wire, 2 pages							DF																																																															EXAMINER 					DATE CONSIDERED 08/02/05		
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Technology Center 2600

Serial No. 10/065,285  
Attorney Docket No.: F-560

Patent

FORM PTO-1449 (Modified)

Atty. Docket Number  
F-560

Serial No.  
10/065,285

**INFORMATION DISCLOSURE  
STATEMENT  
BY APPLICANT**

Applicant  
John F. Braun, et al.

Filing Date  
September 30, 2002

Group Art Unit  
2624

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**U.S. PATENT DOCUMENTS**

Technology Center 2600

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2001/0055411A1	12/27/01	Black	382	124	5/25/01
	AB	US 2002/0107885A1	8/8/02	Brooks et al.	707	505	1/29/02
	AC	US 2002/0193975A1	12/19/02	Zimmerman	703	6	6/19/01
	AD	US 2003/0001020A1	1/2/03	Kardach	235	494	6/27/01
	AE	US 2003/0133629A1	7/17/03	Sayers	382	313	1/17/02
	AF	6,208,771 B1	3/27/01	Jared et al.	382	306	8/31/98
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	AL	6,573,887 B1	6/3/03	O'Donnell, Jr.	345	179	9/5/00
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	AO	6,609,653 B1	8/26/03	Lapstun et al.	235	375	11/12/02
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